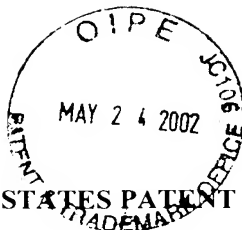


Docket No. 220143US2/rm



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hisaji OYAKE, et al.

SERIAL NO: 10/083,596

GAU: 1752

FILED: February 27, 2002

EXAMINER:

FOR: METHOD FOR PRODUCING PHOTORESIST MASTER FOR OPTICAL INFORMATION MEDIUM, AND METHOD FOR PRODUCING STAMPER FOR OPTICAL INFORMATION MEDIUM

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Surinder Sachar
Registration No. 34,423



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

DOCKET NO: 220143US2

Sheet 1 of 1

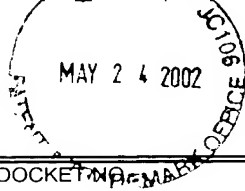
SERIAL NO: 10/083,596

Group Art Unit: 1752

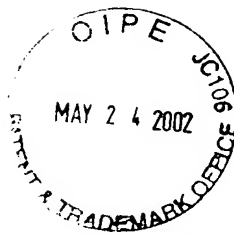
STATEMENT OF RELEVANCY

Reference AO (JP 4-263140) on Form 1449:

This reference is discussed in the specification.



| | | | | | | | |
|--|----|---|----------|---|-----------------------|--------------------------|-------------------------------|
| Form PTO 1449 (Modified) | | U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 10/083,596 | | SERIAL NO. 10/083,596 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Hisaji OYAKE, et al. | | | |
| | | | | FILING DATE February 27, 2002 | | GROUP 1752 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AO | 4-263140 | 09/18/92 | JAPAN (with English Abstract) | | | X |
| | AP | 9-292715 | 11/11/97 | JAPAN (with English Abstract and Concise Explanation) | | | X |
| | AQ | 0 907 165 | 04/07/99 | EUROPE | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|---------------------------------|---------------------------------|-------------------------------|
| 205983US0 | 09/832,893 | 04/12/01 | PENDING |
| 220143US2* | 10/083,596 | 02/27/02 | PENDING |

*Present application; listed for information

GJM/akh

I:\cm\EMRel\219s220s\220143US LIST.wpd